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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/852,958
	Filing Date	05/10/2001
	First Named Inventor	David A. Sirbasku
	Group Art Unit	1623
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	1944-00201

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### ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
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  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ **Supplemental Information Disclosure Statement**
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment (for an application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
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- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
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- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ **Other Enclosure(s) (please identify below):**

**Form PTO-1449 (15 p., with copies of 246 cited references)**

**Acknowledgement Postcard**

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Carol G. Mintz, Reg. No. 38,561 Conley Rose, P.C.
Signature	<i>Carol G. Mintz</i>
Date	April 4, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: April 4, 2003			
Typed or Printed Name	Frances Hampton		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

David A. Sirbasku  
APPLICATION NO.: 09/852,958  
FILED: May 10, 2001  
FOR: Compositions and Methods for  
Demonstrating Secretory Immune  
System Regulation of Steroid  
Hormone Responsive Cancer Cell  
Growth

GROUP ART UNIT: 1623

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Atty. Dkt. No.: 1944-00201

Date: April 4, 2003

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §1.97, §1.98, Applicant is providing herewith a Supplemental Information Disclosure Statement, including completed Form PTO-1449 comprising a list of pertinent art of which Applicant is aware. A copy of each publication listed on Form PTO-1449 is enclosed herewith. This information is supplemental to the Information Disclosure Statement, Form PTO 1449 filed on September 11, 2002, and the references provided therewith.

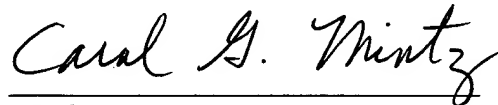
The submission of this Supplemental Information Disclosure Statement and the references provided therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicant's invention under 35 U.S.C. § 102 or § 103.

04/16/2003 MN0HAMM1 00000030 032769 09852958  
01 FC:1806 180.00 CH

Void date: 04/16/2003 MN0HAMM1  
04/16/2003 MN0HAMM1 00000030 032769 09852958  
01 FC:1806 180.00 CR

No Office Action on the merits has been received in the present application, thus Applicant believes that no fee under 37 C.F.R. § 1.17(p) is due. In the event that an Office Action dated prior to the mailing date of this Information Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley Rose, P.C., in the amount of \$180, so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,



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AGENT FOR APPLICANT

Form PTO-1449 (Modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)	Atty. Docket No. 1944-00201	Serial No. 09/852,958
	Applicant David A. Sirbasku	
	Filing Date May 10, 2001	Group 1623

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	EXAMINER DATE IF APPROPRIATE
	A1	5,405,772	04/11/95	Ponting	435	240.31	

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	Translation YES NO
	B2	WO98/04681	2 Feb. 1998	WIPO/PCT	C12N	5/00	
	B3	WO98/08934	5 March 1998	WIPO/PCT	C12N	5/00	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
C4	PCT International Search Report, PCT/US01/15183 dated 20/112002, 3 pages	
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C15	T. Anttila et al., <i>Serotypes of Chlamydia Trachomatis and Risk For Development of Cervical Squamous Cell Carcinoma</i> , JAMA (January 2001) Vol. 285, No. 1, pp. 47-51, PMID: 11150108 [PubMed – indexed for MEDLINE]; Abstract <a href="http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=PubMed&amp;list_uids=11150108&amp;d...">http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=PubMed&amp;list_uids=11150108&amp;d...</a> printed on 2/15/2003 (2 pages)	

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Form PTO-1449 (Modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)	<div style="text-align: right;">Sheet 2 of 15</div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Atty. Docket No. 1944-00800</td> <td style="width: 50%;">Serial No. 09/852,547</td> </tr> <tr> <td colspan="2">Applicant David A. Sirbasku</td> </tr> <tr> <td>Filing Date May 10, 2001</td> <td>Group 1642</td> </tr> </table>	Atty. Docket No. 1944-00800	Serial No. 09/852,547	Applicant David A. Sirbasku		Filing Date May 10, 2001	Group 1642
Atty. Docket No. 1944-00800	Serial No. 09/852,547						
Applicant David A. Sirbasku							
Filing Date May 10, 2001	Group 1642						
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							

C16	T. Anttila et al., <i>Serotypes of Chlamydia Trachomatis and Risk For Development of Cervical Squamous Cell Carcinoma</i> , JAMA (January 2001) Vol. 285, No. 1, pp. 47-51, (Original Contribution) 11 pages
C17	J.M. Zenilman, <i>Chlamydia and Cervical Cancer: A Real Association?</i> JAMA (January 2001) 285, No. 1, pp. 81-83, (Editorial) 5 pages
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C19	B.A. Arrick, <i>Therapeutic implications of the TGF-beta system</i> , J. Mammary Gland Biol. Neoplasia. (October 1996) 1(4):391-7, PMID: 10887513 [PubMed – indexed for MEDLINE]; <b>Abstract</b> <a href="http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=PubMed&amp;list_uids=10887513&amp;d...">http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=PubMed&amp;list_uids=10887513&amp;d...</a> printed on 2/21/2003 (1 page)
C20	C.L. Arteaga et al., <i>Blockade of the Epidermal Growth Factor Receptor Inhibits Transforming Growth Factor <math>\alpha</math>-Induced but Not Estrogen-Induced Growth of Hormone-Dependent Human Breast Cancer</i> , Molecular Endocrinology (November 1988) Vol. 2, No. 1 pp. 1064-1069
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C24	A.M. Soto, <i>The Role of Estrogens On The Proliferation of Human Breast Tumor Cells (MCF-7)</i> , J. Steroid Biochem. (1985) Vol. 23, No. 1, pp. 87-94
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C32	Y. Berthois et al., <i>Phenol red in tissue culture media is a weak estrogen: Implications concerning the study of estrogen-responsive cells in culture</i> , Proc. Natl. Acad. Sci. USA (April 1986) Vol. 83, No. 8, pp. 2496-2500

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Atty. Docket No.  
944-00800Serial No.  
09/852,547Applicant  
David A. SirbaskuFiling Date  
May 10, 2001Group  
1642

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C33	S. Bhatia et al., <i>Breast Cancer and Other Second Neoplasms after Childhood Hodgkin's Disease</i> , N. Engl. J. Med., Mar. 21, 1996, Vol. 334, No. 12, pp. 745-51 (Original Articles), 15 pages
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EXAMINER

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App. Docket No.  
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David A. SirbaskuFiling Date  
May 10, 2001Group  
1642

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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